

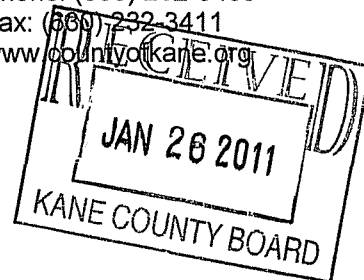
# COUNTY OF KANE

## OFFICE OF COMMUNITY REINVESTMENT

Paul Kuehnert, Executive Director



County Government Center  
719 South Batavia Avenue  
Geneva, IL 60134  
Phone: (630) 232-3480  
Fax: (630) 232-3411  
www.countyofkane.org



### DOCUMENT VET SHEET

for

**Karen McConnaughay**  
Chairman, Kane County Board

Name of Document: Applications for "Ike" Public Infrastructure Funds (5)

Submitted by: Scott Berger (208-5351)

Date Submitted: January 26, 2011

Examined by: KC Sheppard  
(Print name)

[Handwritten Signature]  
(Signature)

1-26-11  
(Date)

Comments: Enclosed, please find five application packets for "Ike"

Public Infrastructure Funds for Chairman McConnaughay's review and signature.

The projects include various stormwater and flood route improvements that – had they been in place in September 2008 – would have alleviated the flooding that resulted from hurricane Ike.

*Thank you!*  
*Scott*

# STATE OF ILLINOIS



## CDBG DISASTER RECOVERY "IKE" PROGRAM

*Special Allocation of the Community Development Block Grant (CDBG) Program*

### Public Infrastructure Program (PI)

## APPLICATION GUIDEBOOK & FORMS

### PI APPLICATIONS

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**IKE-PI APPLICATIONS CAN BE SUBMITTED BETWEEN  
DECEMBER 1, 2010 - JANUARY 31, 2011**

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*GUIDEBOOK UPDATED OCTOBER 14, 2010*

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ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
 IKE-Public Infrastructure Program



**DCEO Use Only:**  
 Application #:  
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SECTION 1: APPLICANT INFORMATION		
1.1	<b>Legal Name of Applicant:</b> <i>(Attach copy of W-9)</i>	Kane County Office of Community Reinvestment
1.2	<b>Address of Applicant:</b> <i>(Include your extended 9-digit zip code):</i>	719 South Batavia Avenue Geneva, Illinois 60134-3077
1.3	<b>Chief Officer:</b> <i>(If more than one, attach a list with all Officers)</i>	Name: Karen McConaughy Title: County Board Chairman Address: 719 South Batavia Avenue; Geneva, Illinois 60134 Phone: (630) 232-5930 Fax: (630) 232-9188 E-Mail: kmcconnaughay@kanecoboard.org
1.4	<b>Description of Applicant:</b> <i>(200 Character maximum)</i>	Kane County is a local government entity incorporated as a public corporation under the laws of the State of Illinois.
1.5	<b>NAICS Code:</b>	925120 <i>(6-digit Industry Classification Code)</i>
1.6	<b>Applicant Website:</b>	<a href="http://www.countyofkane.org/">http://www.countyofkane.org/</a>
1.7	<b>Applicant FEIN:</b>	36-6006585
1.8	<b>Applicant SSN:</b>	Not Applicable
1.9	<b>Applicant's DUNS Number:</b>	010221786
1.10	<b>Applicant Fiscal Year:</b>	From: December 1 To: November 30

SECTION 2: APPLICANT HISTORY		
2.1	Have you received a grant from the State of Illinois within the last 3-years?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	Provide total number of grants received from the State of Illinois within the last 3 years.	2
	If yes, provide the following for each grant received in last 3 years:	Agency: Illinois Department of Health Grant #: 95380474 & 75380876 Grant Amount: \$173,114 & \$92,215 Grant Term: (10-01-08 thru 09-30-10) & (01-01-07 thru 04-30-08) General Description: The Office of Community Reinvestment oversaw a Lead based Paint Hazard Reduction Program Issues: Not Applicable
2.2	If applicable, list all Names and FEINs that are registered to your organization or have been registered during the past 3 years.	
	<b>Name</b>	<b>FEIN</b>



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
 IKE-Public Infrastructure Program



**SECTION 2: APPLICANT HISTORY**

2.3	In the past twelve months, have there been any changes in the following key staff? Check all that apply. Provide detail for any boxes checked including names of the person who left the position and the name of their replacement. Indicate the number of months the position has been vacant if the position is currently vacant.
<input type="checkbox"/> CEO/Executive Director/Chief Elected Official <input type="checkbox"/> CFO/Controller <input type="checkbox"/> Grant Administrator <input checked="" type="checkbox"/> Grant Administrative Support Staff (i.e. Reporting, correspondence, document control) <input type="checkbox"/> Bookkeeper/Accountant for Grant <input type="checkbox"/> No Changes	
Provide detail for any checked boxes.	<b>The Office of Community Reinvestment increased it staff from 3 members to 5 members.</b>
2.4	If your proposed budget includes any staff costs for this grant, please indicate the type of documentation that will be maintained and used to allocate staff costs to the DCEO grant. <input checked="" type="checkbox"/> Time sheets <input type="checkbox"/> Cost allocation plans <input type="checkbox"/> Certifications of time spent <input type="checkbox"/> Other, please describe: <input type="checkbox"/> None
2.5	Has the applicant or any principal formed a business that existed for less than two years? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If yes, provide name(s) of the business and reason(s) that it existed for less than two years.
2.6	Has the applicant or any principal experienced foreclosure, repossession, civil judgment or criminal penalty (or been a party to a consent decree) within the past seven years as a result of any violation of federal, state or local law applicable to its business? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If yes, identify the nature (including case number and venue) of the action and the disposition. If the action/proceeding is still pending or unresolved, provide a status identifying the unresolved issues.
2.7	Is the applicant or any principal the subject of any proceedings that are pending, or to the best of applicant's knowledge, threatened against applicant and/or any principal that may result in any adverse change in applicant's financial condition or materially and adversely affect applicant's operations? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If yes, provide requested information.
2.8	Does the applicant or any principal owe any debt to the State? <span style="float: right;"><input type="checkbox"/> Yes <input checked="" type="checkbox"/> No</span> If yes, list reason and amount:



# DCEO GRANT APPLICATION – PART I

IKE-Public Infrastructure Program



SECTION 3: PROPOSAL INFORMATION										
3.1	<b>Submittal Date:</b>	January 26, 2011								
3.2	<b>Project Title:</b>	John Street/Raymond Road Drainage Improvement Project								
3.3	<b>Brief Project Description:</b> <i>(Complete attached Scope of Work)</i> <i>(550 Character maximum)</i>	<p>The intersection of John Street and Raymond Road at the entrance to the Bergman Estates Subdivision was previously drained by an agricultural tile line flowing to the adjacent Kane County Forest Preserve property. During and since the 2008 flood, residents in the immediate vicinity of the intersection, primarily those two residing on either side of the “t” intersection, have been plagued with flooded ditches that extend into their yards covering their septic tanks and fields and access driveways. During the 2008 flood, the severity of flooding extended to the basement levels and beyond the immediate vicinity of that intersection. The intersection is a naturally occurring depression with no positive outflow without access to tiling. The damage to the tile line that previously served the area has impaired the function of the septic systems with the resulting jeopardy to ground water contamination. The September 2008 flooding created an urgent need for protection of the health, safety, and welfare of the residents when standing water covered septic fields, tanks, and well heads for a period of several days compromising the functioning and separation of wastewater treatment and creating the potential for contamination of residential water supply wells.</p> <p>All the Village and Township residents depend upon properly functioning septic systems to safeguard the private well water supply. Without proper drainage to convey storm water flows and lower the ground water, separation between septic treatment and groundwater is compromised thereby jeopardizing the water supply for the entire community</p> <p>The Village proposes to re-establish an outfall for the intersection by installing new drainage tile as per the enclosed project map.</p>								
3.4	<b>Project Location:</b>	<p>Street: John Street/Raymond Road Intersection</p> <p>Address: Big Rock County: Kane</p>								
3.5	<b>Areas Served:</b>	Bergman Estates Subdivision								
3.6	<b>Project Contact:</b>	<p>Name:</p> <p>Title:</p> <p>Address:</p> <p>Phone:</p> <p>Fax:</p> <p>E-Mail:</p>								
3.7	<b>Project Period:</b>	<table border="0"> <tr> <td>Start Date: May 2011</td> <td>End Date: June 2013</td> </tr> </table>	Start Date: May 2011	End Date: June 2013						
Start Date: May 2011	End Date: June 2013									
3.8	<b>Project Costs:</b> <i>(Complete attached Budget)</i>	<table border="0"> <tr> <td>Funding provided by the applicant:</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>Secured funding from other sources:</td> <td style="text-align: right;">\$0</td> </tr> <tr> <td>Funding requested from DCEO:</td> <td style="text-align: right;">\$298,746.30</td> </tr> <tr> <td><b>Total Project Cost</b></td> <td style="text-align: right;"><b>\$298,746.30</b></td> </tr> </table>	Funding provided by the applicant:	\$0	Secured funding from other sources:	\$0	Funding requested from DCEO:	\$298,746.30	<b>Total Project Cost</b>	<b>\$298,746.30</b>
Funding provided by the applicant:	\$0									
Secured funding from other sources:	\$0									
Funding requested from DCEO:	\$298,746.30									
<b>Total Project Cost</b>	<b>\$298,746.30</b>									



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
 IKE-Public Infrastructure Program



**SECTION 4: SCOPE OF WORK**

**John Street/Raymond Road Drainage Improvement Project**

*Description of project:* Most of the Berman Estates Subdivision was built in the 1970's and annexed to the Village in 2009. Aging drain tile lines served as storm sewers while private wells and on-site septic treatment comprise the water and wastewater facilities for this rural subdivision. The Bergman Estates Subdivision is distally located from the Village and will not be considered for community sewage treatment for the foreseeable future. Consequently, maintaining and extending the useful life and proper functioning of the on-site septic systems is imperative for the health and property values for the entire community.

The intersection of John Street and Raymond Road at the entrance to the Bergman Estates Subdivision was previously drained by an agricultural tile line flowing to the adjacent Kane County Forest Preserve property. During and since the 2008 flood, residents in the immediate vicinity of the intersection, primarily those two residing on either side of the "t" intersection, have been plagued with flooded ditches that extend into their yards covering their septic tanks and fields and access driveways. During the 2008 flood, the severity of flooding extended to the basement levels and beyond the immediate vicinity of that intersection. The intersection is a naturally occurring depression with no positive outflow without access to tiling. The damage to the tile line that previously served the area has impaired the function of the septic systems with the resulting jeopardy to ground water contamination. The September 2008 flooding created an urgent need for protection of the health, safety, and welfare of the residents when standing water covered septic fields, tanks, and well heads for a period of several days compromising the functioning and separation of wastewater treatment and creating the potential for contamination of residential water supply wells.

All the Village and Township residents depend upon properly functioning septic systems to safeguard the private well water supply. Without proper drainage to convey storm water flows and lower the ground water, separation between septic treatment and groundwater is compromised thereby jeopardizing the water supply for the entire community (The entire Village of Big Rock and surrounding Township rely on private wells for water supply. Any septic functioning impairment jeopardizes the entire community's water supply and consequently the property values).

The Village proposes to re-establish an outfall for the intersection by installing new drainage tile as per the enclosed project map. Catch basins at the intersection as well as clean outs along the length of the sewer line and sump pump connections will control ground water and convey storm flows to prevent future flooding atop septic systems and well heads.

Grantee will complete the following tasks:

DESCRIPTION OF TASKS	ESTIMATED COMPLETION DATE
<b>Task 1.</b> Design	August 2011
<b>Task 2.</b> Approvals/Easements	November 2011
<b>Task 3.</b> Bid letting/opening/award	January 2012
<b>Task 4.</b> Construction	September 2012
<b>Task 5.</b>	
<b>Task 6.</b>	



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
 IKE-Public Infrastructure Program



DESCRIPTION OF TASKS	ESTIMATED COMPLETION DATE
Task 7.	
Task 8.	

SECTION 5: PERFORMANCE MEASURES	
Performance Measure	Target
Flood relief and safeguard septic system function	20 people
Safeguard private well water supply	3,000 people

SECTION 6: PROJECTED EMPLOYMENT IMPACT		
6.1	Number of permanent full-time individuals currently employed by applicant:	1
6.2	Number of permanent part-time individuals currently employed by applicant:	1
6.3	Number of permanent full-time jobs that would be created by applicant as a direct result of receiving the grant award:	See below
6.4	Number of permanent part-time jobs that would be created by applicant as a direct result of receiving the grant award:	See below
6.5	Number of permanent full-time jobs that would be retained by applicant as a direct result of receiving the grant award:	See below
6.6	Number of permanent part-time jobs that would be retained by applicant as a direct result of receiving the grant award:	See below
6.7	Describe any other projected employment impact as a result of receiving the grant award: The project positively benefits the consultants and construction companies' job retention. The equivalent of one part time worker will be required for the design phase of the project. It is estimated that a part time employee with the engineering office and a full time employee with the construction company will be required for the construction phase of the project.	

SECTION 7: BUDGET		
Line Item or Cost Category Description	Requested Grant Budget Amount	Proposed Match Budget Amount
Engineering Design and Construction Services	\$47,743.30	
Construction	\$217,015.00	
Contingency	\$21,701.50	
Project Staking	\$5,000.00	
<b>Total Cost</b>	<b>\$291,459.80</b>	



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
*IKE-Public Infrastructure Program*



**SECTION 8: PROGRAM SPECIFIC INFORMATION**

**IKE-PROGRAM AND PROJECT SPECIFIC INFORMATION WILL BE PROVIDED IN THE CDBG-"IKE" SPECIFIC FORMS/ INSTRUCTIONS THAT ARE PART II OF THE CDBG-IKE APPLICATION.**





ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
*IKE-Public Infrastructure Program*



**SECTION 9: APPLICANT CERTIFICATION**

Under penalty of perjury, I certify that I have examined this application and the document(s), schedule(s), and statement(s) submitted in conjunction herewith, and that, to the best of my information and belief, the information contained herein is true, correct, and complete. I represent that I am the person authorized to submit this application on behalf of the applicant, and that I am authorized to execute a legally binding grant agreement on behalf of the applicant if this application is approved for funding.

I hereby release to DCEO the rights and use of photographs and/or any written statements or information, regardless of format (whether they are direct quotes or paraphrased by DCEO), contained in or provided after grant application for the purpose of publication on DCEO's website. I hereby also release any and all claims against DCEO its officers, agents, employees and/or affiliates arising out of, or in connection with, the usage of photographs and/or written statements or information, regardless of format (whether they are direct quotes or paraphrased by DCEO), for the purpose of publication on DCEO's website.

*Ronald McManis*

Signature

Name & Title

Date





ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
*IKE-Public Infrastructure Program*



**PART II-**  
**IKE- SPECIFIC PROGRAM APPLICATION**  
**BEGINS ON THE NEXT PAGE**



# DCEO GRANT APPLICATION – PART I

IKE-Public Infrastructure Program



<b>PART II- SECTION 1: PROJECT DETAILS</b> <i>Complete Application – Part II for each proposed project.</i>		
<b>PROJECT NAME:</b> John Street/Raymond Road Drainage Improvement Project	<b>TOTAL PROJECT COST: \$291,459.80</b>	
	<b>CDBG FUNDS REQUESTED: \$291,459.80</b>	
PROJECT DESCRIPTION		
<b>PROJECT DESCRIPTION.</b> PROVIDE A CONCISE DESCRIPTION OF THE PROPOSED PROJECT, DESCRIBING THE ACTIVITIES THAT WILL BE UNDERTAKEN FOR WHICH IKE-PI FUNDS ARE BEING REQUESTED: The Village of Big Rock seeks IKE-PI funding for the design, permitting, and construction of a outfall drainage tile for the intersection of John Street and Raymond Road at the entrance to the Berman Estates Subdivision.		
IKE-PI ELIGIBLE ACTIVITIES		
<i>Project activities funded under the IKE-PI program must be among the Eligible Activities defined in Section 105(a) of the Housing and Community Development Act of 1974 (HCDA). List each Eligible Activity associated with the project and IKE-PI funding request.</i>		
PROJECT ACTIVITY DESCRIPTION- LIST EACH PROJECT ACTIVITY: (ADD ADDITIONAL ROWS IF NEEDED):	TOTAL CDBG FUNDS REQUESTED FOR ACTIVITY	ELIGIBLE ACTIVITY CDBG REGULATORY CITATION (FOR DCEO INTERNAL USE)
ENGINEERING DESIGN AND CONSTRUCTION SERVICES	\$ 47,743.30	105(A)
CONSTRUCTION	\$ 217,015.00	105(A)
CONTINGENCIES	\$21,701.50	105(A)
PROJECT STAKING	\$ 5,000.00	105(A)
		105(A)
<b>TOTAL IKE-PI FUNDING REQUEST</b>	<b>\$ 291,459.80</b>	



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
 IKE-Public Infrastructure Program



**NATIONAL OBJECTIVE(S)**

*Project activities funded under this program must also meet a CDBG National Objective (as defined in 24 CFR 570.483).*

ALL PROJECTS FUNDED WITH CDBG-IKE PROGRAM FUNDS MUST MEET ONE OF THE THREE NATIONAL OBJECTIVES.

1. BENEFIT A MINIMUM OF **51% LOW-AND-MODERATE INCOME\* INDIVIDUALS** (THROUGH AN AREA-BENEFIT, JOBS, HOUSING, OR "LIMITED CLIENTELE" PROJECT BENEFIT);
2. AID IN THE PREVENTION OR ELIMINATION OF **SLUMS AND BLIGHT** (EITHER AS AN AREA BENEFIT, OR "SPOT BASIS" BENEFIT); OR
3. ADDRESS AN **URGENT NEED** IN THE COMMUNITY, SUCH AS A PROJECT THAT CAN ADDRESS THREAT TO THE HEALTH SAFETY AND/ OR WELFARE OF THE COMMUNITY.

CHECK ONE OR MORE APPLICABLE CDBG NATIONAL OBJECTIVES ASSOCIATED WITH THE PROJECT (SEE ATTACHMENT B FOR INSTRUCTIONS ON DOCUMENTING AND MEETING A NATIONAL OBJECTIVE):

- LOW-MODERATE INCOME AREA BENEFIT** (ATTACHMENT B, SECTION I.B)
- LOW-MODERATE INCOME JOBS** (ATTACHMENT B, SECTION I.D)
- LOW-MODERATE INCOME HOUSING** (ATTACHMENT B, SECTION I.C)
- LOW-MODERATE INCOME LIMITED CLIENTELE** (ATTACHMENT B, SECTION I.D)
- URGENT NEED** (ATTACHMENT B, SECTION III)
- SLUM-BLIGHT AREA BENEFIT** (ATTACHMENT B, SECTION II.A)
- SLUM-BLIGHT SPOT BASIS** (ATTACHMENT B, SECTION II.B)

DESCRIBE HOW THE PROJECT ACTIVITIES MEET THE SELECTED NATIONAL OBJECTIVE(S). ATTACH ALL SUPPORTING DOCUMENTATION (SEE ATTACHMENT B FOR DOCUMENTATION REQUIREMENTS):

All the Village and Township residents depend upon properly functioning septic systems to safeguard the private well water supply. Without proper drainage to convey storm water flows and lower the ground water, separation between septic treatment and groundwater is compromised thereby jeopardizing the water supply for the entire community. The entire Village of Big Rock and surrounding Township rely on private wells for water supply. Any septic functioning impairment jeopardizes the entire community's water supply and consequently the property values. The Village needs to avert the crisis that would precipitate from a well testing positive for contamination. Although encased well drilling might be a costly remedy, mandatory full disclosure would still negatively impact property values for the entire community.

The intersection of John Street and Raymond Road at the entrance to the Bergman Estates Subdivision was previously drained by an agricultural tile line flowing to the adjacent Kane County Forest Preserve property. During and since the 2008 flood, residents in the immediate vicinity of the intersection, primarily those two residing on either side of the "t" intersection, have been plagued with flooded ditches that extend into their yards covering their septic tanks and fields and access driveways. During the 2008 flood, the severity of flooding extended to the basement levels and beyond the immediate vicinity of that intersection. The intersection is a naturally occurring depression with no positive outflow without access to tiling. The damage to the tile line that previously served the area has impaired the function of the septic systems with the resulting jeopardy to ground water contamination. The September 2008 flooding created an urgent need for protection of the health, safety, and welfare of the residents when standing



# DCEO GRANT APPLICATION – PART I

*IKE-Public Infrastructure Program*



water covered septic fields, tanks, and well heads for a period of several days compromising the functioning and separation of wastewater treatment and creating the potential for contamination of residential water supply wells.

The Village of Big Rock is particularly vulnerable to this health and safety issue because the flat topography and hydric soil types accentuate the need for separation distances by diminishing the ability of the soils to properly treat septic waste and the naturally occurring shallow groundwater table exacerbates the jeopardy even during dry conditions. Since the Bergman Estates Subdivision is distally located from the Village and will not be considered for community sewage treatment for the foreseeable future, maintaining and extending the useful life and proper functioning of the on-site septic systems is imperative to safeguard the wells in the entire Village and surrounding area.

The Village proposes to re-establish an outfall for the intersection by installing new drainage tile as per the enclosed project map. Catch basins at the intersection as well as clean outs along the length of the sewer line and sump pump connections will control ground water and convey storm flows to prevent future flooding atop septic systems and well heads.

A secondary, but critical concern is the risks to public health from unhealthy interior environments produced by wet and damp basements due to the naturally occurring high ground water.

Finally, restoring the John Street/Raymond Road intersection flood route to convey storm water and control ground water will reduce the threat of mosquito born diseases in an area that has tested positive for mosquitoes carrying West Nile Virus.

### **IKE-PI PROJECT NEED**

DISCUSS HOW THE DISASTERS OF 2008 CAUSE THE NEED FOR THIS PROJECT AND PROVIDE A BASIC DESCRIPTION OF THE PROBLEMS ADDRESSED BY THIS PROJECT. BE SURE TO CLEARLY EXPLAIN THE CAUSE OF THE DAMAGE TO THE PUBLIC INFRASTRUCTURE AND ANY OTHER COMMUNITY DAMAGE DUE TO THE FAILURE OF THAT INFRASTRUCTURE. EXPLAIN WHEN THE DAMAGE OCCURRED, THE CURRENT CONDITION OF THE INFRASTRUCTURE, AND HOW THE PROJECT RELATES TO DISASTER RECOVERY. WHERE POSSIBLE INCLUDE SUPPORTING DOCUMENTATION (I.E. WELL WATER TESTS, DAMAGE REPORTS, PHOTOGRAPHS, ENGINEER CERTIFICATIONS, ETC.).

The September 2008 flooding created an urgent need for protection of the health, safety, and welfare of the residents when standing water covered septic fields, tanks, and well heads for a period of several days compromising the functioning and separation of wastewater treatment and creating the potential for contamination of residential water supply wells. The intersection of John Street and Raymond Road at the entrance to the Bergman Estates Subdivision was previously drained by an agricultural tile line flowing to the adjacent Kane County Forest Preserve property. During and since the 2008 flood, residents in the immediate vicinity of the intersection, primarily those two residing on either side of the “T” intersection, have been plagued with flooded ditches that extend into their yards covering their septic tanks and fields and access driveways. During the 2008 flood, the severity of flooding extended to the basement levels and beyond the immediate vicinity of that intersection. The intersection is a naturally occurring depression with no positive outflow without access to tiling. The damage to the tile line that previously served the area has impaired the function of the septic systems with the resulting jeopardy to ground water contamination. The Village has determined that in order to avert a crisis looming in the aftermath of the 2008 disaster, action must be taken to re-establish the flood route for the intersection.



# DCEO GRANT APPLICATION – PART I

*IKE-Public Infrastructure Program*



The enclosed General Affidavits attest to flooding covering wells and septic systems. The water infiltrated the basements and crawl spaces at various access points as well as groundwater overwhelming the sump pump capacity causing damage and loss to real and personal property. The Dunkin's and the Dennin's live on either side of the "T" intersection. They have been documenting the flooding ever since the 2008 Flood event. The enclosed pictures are of a more moderate storm event in 2010 but they are indicative of the type of flooding that occurred in 2008. That flooding was more severe.

The residents farther from that intersection, even by a couple of properties still have access to drainage because that intersection now acts as the retention pond for the area which drains to it, hence, the affidavits we received were few; and the perceived problem lies with the damage to the Dunkin's and Dennin's property. However, the subdivision and community residents often neglect to reflect on the consequences of septic failure and ground water contamination from either of these properties. That damage will likely not be limited to the two properties fronting that intersection. It is dangerous to allow two developed properties relying on septic fields to act as a retention pond for neighboring properties. The tile outfall must be re-established to protect the public health and property values.

With water covering septic fields and tanks as reported on the affidavits, separation between wastewater treatment and ground water has been nullified and the entire Village becomes at risk for well water contamination. This danger becomes more acute with chronic flooding as is the case at this intersection since the tile line was damaged. It has become imperative to isolate wastewater treatment from the private well water supply to safeguard public health before any future storm events.

Further, the individual properties reporting interior water damage can suffer from unhealthy environments that damp conditions cause which could be alleviated if the storm water conveyance and ground water control was improved. Finally, all the residents in the immediate vicinity are in greater jeopardy from mosquito born diseases that breed in the wet conditions described on the affidavits. The area has tested positive for mosquitoes carrying West Nile Virus.

### **IKE-PI CRITICAL COMMUNITY INFRASTRUCTURE**

DISCUSS HOW THIS PROJECT WILL HELP MEET THE HEALTH AND SAFETY NEEDS OF COMMUNITY RESIDENTS:

All the Village and Township residents depend upon properly functioning septic systems to safeguard the private well water supply. Without proper drainage to convey storm water flows and lower the ground water, separation between septic treatment and groundwater is compromised thereby jeopardizing the water supply for the entire community. The entire Village of Big Rock and surrounding Township rely on private wells for water supply. Any septic functioning impairment jeopardizes the entire community's water supply and consequently the property values. The Village needs to avert the crisis that would precipitate from a well testing positive for contamination. Although encased well drilling might be a costly remedy, mandatory full disclosure would still negatively impact property values for the entire community.

The Village of Big Rock is particularly vulnerable to this health and safety issue because the flat topography and hydric soil types accentuate the need for separation distances by diminishing the ability of the soils to properly treat septic waste and the naturally occurring shallow groundwater table exacerbates the jeopardy even during dry conditions. Since the Bergman Estates Subdivision is distally located from the Village and will not be considered for community sewage treatment for the foreseeable future, maintaining and extending the useful life and proper functioning of the on-site septic systems is



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
 IKE-Public Infrastructure Program



imperative to safeguard the wells in the entire Village and surrounding area.

The September 2008 flooding created an urgent need for protection of the health, safety, and welfare of the residents when standing water covered septic fields, tanks, and well heads for a period of several days compromising the functioning and separation of wastewater treatment and creating the potential for contamination of residential water supply wells. The intersection of John Street and Raymond Road at the entrance to the Bergman Estates Subdivision was previously drained by an agricultural tile line flowing to the adjacent Kane County Forest Preserve property. During and since the 2008 flood, residents in the immediate vicinity of the intersection, primarily those two residing on either side of the “T” intersection, have been plagued with flooded ditches that extend into their yards covering their septic tanks and fields and access driveways. During the 2008 flood, the severity of flooding extended to the basement levels and beyond the immediate vicinity of that intersection. The intersection is a naturally occurring depression with no positive outflow without access to tiling. The damage to the tile line that previously served the area has impaired the function of the septic systems with the resulting jeopardy to ground water contamination. The Village has determined that in order to avert a crisis looming in the aftermath of the 2008 disaster, action must be taken to re-establish the flood route for the intersection.

A secondary, but critical concern is the risks to public health from unhealthy interior environments produced by wet and damp basements due to the naturally occurring high ground water.

Finally, restoring the John Street/Raymond Road flood route to convey storm water and control ground water will reduce the threat of mosquito born diseases in an area that has tested positive for mosquitoes carrying West Nile Virus.

**IKE-PI FINANCIAL NEED**

DESCRIBE THE NEED FOR IKE PROGRAM FUNDS BY INCLUDING EVIDENCE THAT THE PROJECTS FINANCING CANNOT BE FULLY OBTAINED WITHOUT IKE PARTICIPATION. DISCUSS WHY OTHER FINANCING OPTIONS NOT FEASIBLE OR CANNOT BE OBTAINED IN THE TIME FRAME REQUIRED. DISCUSS THE AVAILABILITY OF ANY CAPITAL RESERVE FUNDS:

Grant funds are scarce for this type of project since the project is intended and designed to be streamlined to focus on disaster recovery and mitigation by rehabilitating stressed and deteriorating infrastructure already in place rather than retrofitting green infrastructure incentives. Even if the Village were to impose an SSA on the subdivision for drainage maintenance and improvements, a source of capital would need to be identified to front the engineering and construction costs or the relief would be delayed for years if not a decade or more.

The Village is a non-taxing body with an annual budget of just over \$300,000 and unreserved fund balance for operations and capital invest of just over \$100,000.

**IKE-PI URGENCY OF NEED**



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
*IKE-Public Infrastructure Program*



DISCUSS THE URGENCY OF COMPLETING THIS PROJECT AND THE POTENTIAL OF ADVERSE IMPACTS IF NOT COMPLETED IN A TIMELY MANNER.

Since the agricultural field tile outfall for the intersection has failed, the intersection is land locked and acts as a retention basin for the area. Standing water over a period of time greatly jeopardizes septic field treatment.

If the aquifer is contaminated due to leaching from a septic field, then the water supply drawn from that aquifer will be undrinkable. While the Village has not studied the possible solutions to such a devastating event, encased wells drilled into deeper aquifers is one possible solution that might prove prohibitively costly. Property values would plummet resulting in a possible blight condition. Even if encased wells restore the habitability of residential property in the Village and Township, with mandatory full disclosure laws, property values would not likely rebound.

Property damage from groundwater infiltration and the jeopardy from pests resulting from standing water will continue to jeopardize the public health and property values until a reliable flood route for the intersection is established.





ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART I**  
*IKE-Public Infrastructure Program*



**IKE-PI PROJECT OPTIONS**

DISCUSS THE OPTIONS AVAILABLE TO MEET THE NEEDS OF THE PROJECT. DISCUSS THE COSTS AND EFFECTIVENESS OF EACH OPTION. EXPLAIN WHY OPTION IN THIS APPLICATION WAS SELECTED:

Given the nature of drainage and storm water regulations, it would prove far more difficult and costly to establish a new drainage pattern than to re-establish the flow that previously served the intersection. Hence, the Village has eliminated other options.

However, since the drainage issues are contained to the close proximity of the John Street/Raymond Road intersection, the Village is limiting sump pump connections, catch basins, and the extension of the storm sewer to serve the immediate need and lower the project costs.

If the Village is successful in obtaining the grant and can proceed with the project, property owners in the area will be approached to inquire what developmental plans they have for the area and enlist them as financial partners should that seem prudent (the area is surrounded by active agricultural land and open space that is not likely to develop in the foreseeable future). But with the information currently available, the proposed option will safeguard the health of the public utilizing the most cost effective option.

**IKE-PI PROJECT TIMELINE**

DESCRIBE THE PROPOSED TIMEFRAME (INCLUDING ALL MAJOR MILESTONES) FOR PROJECT COMPLETION.

Design	August 2011
Approvals/Easement	November 2011
Bid Letting/Opening/Award	January 2012
Construction	September 2012

**PROVIDE DETAILED INFORMATION ABOUT THE STATUS OF PROJECTS, INCLUDING:**

- STATUS OF IEPA PERMIT(S), EASEMENTS, WATER/WASTEWATER TREATMENT AGREEMENT, OPTION TO PURCHASE LAND, AND LEVERAGE COMMITMENT(S); NA



**ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY**  
**DCEO GRANT APPLICATION – PART I**  
*IKE-Public Infrastructure Program*



- PRELIMINARY ENGINEERING REPORT (IF COMPLETED) DESCRIBING THE SCOPE OF THE PROBLEM, PROPOSED PROJECT PHASES OR COMPONENTS, AND ESTIMATED COSTS; First element of the proposed project. See project timeline.
  
- STATUS OF WRITTEN PERMISSION FROM RAILROAD(S), COUNTY HIGHWAY COMMISSIONERS, IDOT, ETC. TO PROCEED WITH ANY RAILROAD AND/OR ROAD BORINGS THAT ARE PROPOSED; NA
  
- FOR "PHASED PROJECTS", INDICATE THE STATUS OF ALL PERTINENT READINESS ISSUES. IN ADDITION, THE APPLICATION SHOULD INCLUDE SUPPORTING DOCUMENTATION, AS APPROPRIATE. NA
  
- STATUS OF LEVERAGE COMMITMENT(S) IF APPLICABLE): NA

**PROJECT BUDGET**

*List below the estimated costs associated with the project. Attach Engineers Estimate Worksheet provided in Section 3*

ACTIVITY/BUDGET ITEM	COST
Engineering—Design and Construction Services	\$47,743.30
Project Staking	\$5,000.00
Contingencies	\$21,701.50
Construction	\$217,015.00
	\$
	\$
	\$
	\$
	\$
<b>TOTAL ESTIMATED PROJECT COST</b>	<b>\$291,459.80</b>

**PROJECT RESOURCES**

*List below the available or proposed resources that will be (or are proposed to be) utilized in the completion of the project.*

**TOTAL ESTIMATED PROJECT COST: \$291,459.80**

FUNDING SOURCE	AMOUNT	STATUS (AVAILABLE, PARTIAL, IN PROGRESS, PROPOSED, ETC.)



# DCEO GRANT APPLICATION – PART I

*IKE-Public Infrastructure Program*



CDBG-IKE	\$ 291,459.80	proposed
LOCAL FUNDS		
PRIVATE FUNDS	\$	
OTHER FEDERAL FUNDS		
STATE FUNDS		
OTHER FUNDS:	\$	
<b>TOTAL</b>	<b>\$ 291,459.80</b>	<b>Proposed</b>

## PART II Section 2: Submission Checklist

All CDBG applications will be screened for completeness. Applicants must complete and submit this checklist with the application. All pages of the application must be sequentially numbered. Use the right-hand column, labeled "Page Number" to indicate the page for each item.

- \_\_\_\_\_ One Original and one copy of the application (indicate the "original" on the cover)
- \_\_\_\_\_ One Annual Audit of the Local Government (Under separate cover) OR
- \_\_\_\_\_ One Annual Audit of Water District or Company or Sanitary District, if applicable

Description	Complete
APPLICATION FORM COVER SHEET	<input type="checkbox"/>
COMPLETED SUBMISSION CHECKLIST	<input type="checkbox"/>
CDBG PUBLIC INFRASTRUCTURE APPLICATION	<input type="checkbox"/>
<b>Documentation, Certifications, Resolutions</b>	
APPLICANT CERTIFICATIONS	<input type="checkbox"/>
COUNCIL RESOLUTION OF SUPPORT	<input type="checkbox"/>
COPY OF PUBLIC NOTICE (WITH INITIAL DATE OF POSTING AND POSTING LOCATION (S)) NOTIFYING PUBLIC OF INTENT TO SUBMIT APPLICATION	<input type="checkbox"/>
RESOLUTION COMMITTING LOCAL FUNDS (IF APPLICABLE)	<input type="checkbox"/>
APPLICANT/GRANTEE/RECIPIENT DISCLOSURE CERTIFICATION	<input type="checkbox"/>
INTERGOVERNMENTAL COOPERATION AGREEMENT (IF APPLICABLE)	<input type="checkbox"/>



# DCEO GRANT APPLICATION – PART I

*IKE-Public Infrastructure Program*



RESOLUTION MEETING URGENT NEED NATIONAL OBJECTIVE (IF APPLICABLE)	<input type="checkbox"/>
<b>Attachments</b>	
SUPPORTING DOCUMENTATION OF URGENT NEED/THREAT TO HEALTH AND SAFETY (IF APPLICABLE)	<input type="checkbox"/>
FIRM LETTERS OF COMMITMENT FROM OTHER LEVERAGING SOURCES (IF APPLICABLE)	<input type="checkbox"/>
COPY OF IEPA CONSTRUCTION PERMIT(S) (IF APPLICABLE)	<input type="checkbox"/>
COPY OF WATER PURCHASE OR WASTEWATER TREATMENT AGREEMENT (IF APPLICABLE)	<input type="checkbox"/>
COPY OF OPTION TO PURCHASE (IF APPLICABLE)	<input type="checkbox"/>
PROJECT LOCATION MAP	<input type="checkbox"/>
MOST RECENT FEMA FLOOD INSURANCE RATE MAP (FIRM)	<input type="checkbox"/>
INCOME SURVEY MAP (IF APPLICABLE)	<input type="checkbox"/>
US GEOLOGICAL SURVEY QUAD TOPOGRAPHIC MAP	<input type="checkbox"/>
NATIONAL OBJECTIVE SUPPORTING DOCUMENTATION	<input type="checkbox"/>
COPY OF WATER/ SEWER RATE SCHEDULES	<input type="checkbox"/>
ENGINEER'S COST ESTIMATES	<input type="checkbox"/>
ADMINISTRATIVE COSTS BUDGET (IF APPLICABLE)	<input type="checkbox"/>
ANALYSIS OF LOW-TO-MODERATE BENEFIT	<input type="checkbox"/>
LOW-TO-MODERATE INCOME SURVEY SUMMARY(IF APPLICABLE)	<input type="checkbox"/>
INCOME SURVEY WORKSHEETS (IF APPLICABLE)	<input type="checkbox"/>
INCOME SURVEY/INCOME SURVEY COVER SHEET (IF APPLICABLE)	<input type="checkbox"/>
COPY OF PUBLIC INFRASTRUCTURE INCOME SURVEY FORM (IF APPLICABLE)	<input type="checkbox"/>
MINORITY BENEFIT/AFFIRMATIVE HOUSING STATEMENT	<input type="checkbox"/>
CDBG PUBLIC INFRASTRUCTURE FINANCIAL INFORMATION	<input type="checkbox"/>



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*



**PART II-**  
**IKE APPLICATION *CONTINUED***  
**SECTION 3**

**DOCUMENTATION, CERTIFICATIONS,  
AND RESOLUTIONS**



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
 IKE-Public Infrastructure Program



***ILLINOIS DISASTER RECOVERY PROGRAM***

ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
 ILLINOIS DISASTER RECOVERY  
 PUBLIC INFRASTRUCTURE PROGRAM  
 APPLICATION FORM COVER SHEET

**Note: Provide as a Separate Cover with the Application Form**

**Kane County Office of Community Reinvestment**

**Kane County**

**Applicant Name**

**County Location**

**Region:**

**(Select all that apply – see Attachment A)**

- FEMA 1747 Impacted Region
- FEMA 1771 Impacted Region
- FEMA 1800 Impacted Region

**Type of CDBG Requested:**

- Entitlement
- Non-Entitlement

**CDBG National Objective:**

	Principally benefit low- and moderate-income persons
<b>XX</b>	Meet community development need having a particular urgency
	Aid in the prevention or elimination of slums or blight

**Grant Request Amount: \$374,770.60**  
**Local Match Amount \$10,000.00**

***Note: Forms generated by the applicant must contain all the information requested on the DCEO forms. Incomplete forms will not be accepted.***



## APPLICANT CERTIFICATIONS

On this (date) of (month), (year), the (title and name of the Chief Elected Official or Authorized Individual for nonprofits) of (name of applicant) hereby certifies to the Department of Commerce and Economic Opportunity in regard to an application and award of funds through the CDBG "Ike" Disaster Recovery Program:

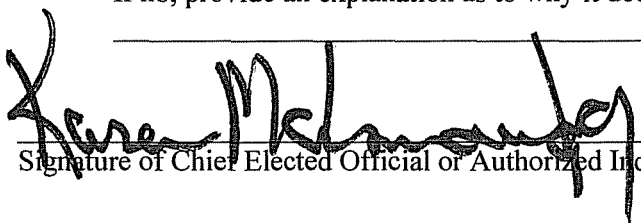
1. It possesses the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations, and no legal actions are underway or being contemplated that would significantly impact its capacity to effectively administer the program, and to fulfill the requirements of the CDBG "Ike" program.
2. It will comply with the National Environmental Policy Act (NEPA) with the submission of this application and it further certifies that no aspect of the project for assistance has or shall commence prior to the award of funds to the community and the receipt of an environmental clearance.
3. It will comply with the Interagency Wetland Policy Act of 1989 including the development of a plan to minimize adverse impacts on wetlands, or providing written evidence that the proposed project will not have an adverse impact on a wetland.
4. It will comply with the Illinois Endangered Species Protection Act and the Illinois Natural Area Preservation Act by completing the consultation process with the Endangered Species Consultation Program of the Illinois Department of Natural Resources, or providing written evidence that the proposed project is exempt.
5. It will identify and document all appropriate permits necessary to the proposed project, including, but not limited to: building, construction, zoning, subdivision, IEPA and IDOT.
6. It will coordinate with the County Soil and Water Conservation District regarding standards for surface and sub-surface (tile) drainage restoration and erosion control in the fulfillment of any project utilizing CDBG "Ike" funds and involving construction.
7. It is understood that the obligation of the State will cease immediately without penalty of further payment being required if in any fiscal year the Illinois General Assembly or federal funding source fails to appropriate or otherwise make available sufficient funds for this agreement.
8. It acknowledges the applicability of Davis-Bacon prevailing wage rate requirements to construction projects; a request for wage rate determination will be submitted prior to commencement of any construction or equipment installation; and, it shall discuss these requirements with the contractor.
9. It will comply with Section 3 of the Housing and Urban Development Act of 1968 to ensure that employment and other economic opportunities generated by certain HUD financial assistance shall, to the greatest extent feasible, and consistent with existing federal, state, and local laws and regulations, be directed to low and very low income persons and businesses.
10. No occupied or vacant-occupiable low-to-moderate income dwellings will be demolished or converted to a use other than low-to-moderate income housing as a direct result of activities assisted with funds provided under the Housing and Community Development Act of 1974, as amended.
11. It will conduct a Section 504 self-evaluation of its policies and practices to determine whether its employment opportunities and services are accessible to persons with disabilities.



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*

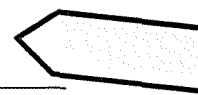


12. The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.
13. The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.
14. It will minimize displacement of persons and provide for reasonable benefits to any person involuntarily and permanently displaced as a result of activities associated with program funds.
15. It will comply with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms, if required by that Part.
16. It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR Part 24, except where waivers or alternative requirements are provided for this grant.
17. Funds will be used solely for necessary expenses related to disaster relief, long term recovery, and restoration of infrastructure in areas covered by a declaration of major disaster under title IV of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) as a result of recent natural disasters.
18. It will not attempt to recover any capital costs of public improvements assisted with CDBG "Ike" disaster recovery grant funds, by assessing any amount against properties owned and occupied by persons of low- and moderate-income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless (A) disaster recovery grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than under this title; or (B) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient CDBG funds (in any form) to comply with the requirements of clause (A).
19. It will prohibit the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
20. It will enforce applicable state and local laws against physically barring entrance to or exit from a facility or location that is the subject of such nonviolent civil rights demonstrations within its jurisdiction.
21. It will not use CDBG "Ike" disaster recovery funds for any activity in an area delineated as a special flood hazard area in FEMA's most current flood advisory maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.
22. It will comply with applicable laws.
23. Specify whether any of the project activities/area is in a flood plain. Yes \_\_\_ No XX  
 If yes, does it participate in the National Flood Insurance Program? Yes \_\_\_ No \_\_\_  
 If no, provide an explanation as to why it does not participate: \_\_\_\_\_



Signature of Chief Elected Official or Authorized Individual (non-profits only)

Date







ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*



## **PUBLIC NOTICE**

**ANNOUNCING THE INTENT TO SEEK FUNDING SUPPORT FOR THE PROJECT  
DESCRIBED BY THIS NOTICE**

*And*

## **PUBLIC COMMENT PERIOD**

**DESCRIBING THE METHODS AND TIMEFRAME FOR SUBMITTING COMMENTS CONCERNING THE  
PROJECT DESCRIBED BY THIS NOTICE**

**Kane County** will apply for funding under Illinois' Community Development Block Grant (CDBG) IKE-Disaster Recovery Program to support the following 16 projects:

- Algonquin Shores Stormwater Detention and Outfall (Dundee Township)
- Combined Sewer Separation Project (Big Rock)
- County Line Road/UP Culvert (Maple Park)
- Crescent Drive Ditch and Flood Route Repair (Dundee Township)
- Exposition View Stormwater and Flood Route Improvements (Aurora Township)
- Golfview Flood Conveyance Project (Aurora Township)
- John Street/Raymond Road Drainage Improvements (Big Rock)
- Johnston-Lindenwood Subdivision Storm Sewer (Aurora Township)
- Kelly Road Flood Route Construction (Hampshire Township)
- North Side Storm Sewer (Maple Park)
- Ogden Gardens Subdivision Storm Sewer Outlet Construction (Aurora Township)
- Pasadena Drive Flood Routing Storm Sewer Construction (Aurora Township)
- Shady Court Stormwater Improvements (Campton Township)
- South Side Storm Sewer (Maple Park)
- Tenerelli Flood Route Improvements (Big Rock)
- Williams Ave./Edwards Ave. Stormwater Improvements (Dundee Township)

The project will **improve the Health and Safety of Kane County residents by improving the management of storm water.**

The proposed project cost is estimated to total **\$7,812,909**. The CDBG-IKE grant award sought on behalf of the project, if approved, would total **\$6,651,892** towards the funding needed for this project.

**Kane County** invites public comments concerning the above-described project, and will accept comments through January 19, 2011 to January 27, 2011.

Comments may be submitted by email to Josh Beck, Program Manager, Office of Community Reinvestment at [beckjosh@co.kane.il.us](mailto:beckjosh@co.kane.il.us) Comments may be submitted by mail, or in person to Office of Community Reinvestment, 719 South Batavia Avenue, Fourth Floor, Geneva, Illinois 60134 at the ATTENTION OF: Josh Beck, Program Manager.

### **APPLICANT/GRANTEE/RECIPIENT DISCLOSURE CERTIFICATION**



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*



**PART I. APPLICANT/GRANTEE/RECIPIENT INFORMATION**

Indicate whether this is an Initial Report \_\_\_\_\_ or an Update Report \_\_\_\_\_

A. Applicant/Grantee Name, Address and Telephone (include area code)

**Kane County Office of Community Reinvestment**  
**719 South Batavia Avenue, Geneva, Illinois 60134**  
**(630) 444-2960**

B. Recipient Name, Address and Telephone (include area code) (for economic development component only)

**Not Applicable**

C. Project Location Address

**Central Portion of the Village of Big Rock, Illinois**  
**(See Attached Map)**

D. Type of Assistance Requested/Provided

Amount of Assistance Requested/Provided

**Grant**

**\$374,770.60**

**PART II. THRESHOLD DETERMINATION (Applicant/Grantee only)**

Have you received, or can you reasonably expect to receive (including this grant application), an aggregate amount of IKE-PI/CDBG funds in excess of \$200,000 for the time period January 1, 2010, to December 31, 2010.

Yes \_\_\_\_\_ No \_\_\_\_\_

**If "yes," Parts III through V must also be completed.**

All applicants must certify that the information provided is true by signing below.

Printed Name of Applicant/Grantee/Recipient

*Karen McCowen*  
 Signature of Authorized Official

Date

Printed Name and Title of Authorized Official



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*



Applicant/Grantee/Recipient Disclosure Certification (continued)

<b>PART III. OTHER GOVERNMENT ASSISTANCE</b>			
Federal Department/State/Local			Amount <b>\$0.00</b>
Agency Name & Address	Program	Type	Requested/Provided
<b>PART IV. INTERESTED PARTIES</b>			
List of all persons with a Reportable Financial Interest		Type of Participation	Financial Interest in Project (\$ and %)
Not Applicable		Not Applicable	Not Applicable
<b>PART V. SOURCES AND USES OF FUNDS</b>			
Source		Use	
Total funds available \$374,770.00		Construction of storm water sewer system in the Village of Big Rock	



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
 IKE-Public Infrastructure Program



**MINORITY BENEFIT/AFFIRMATIVE HOUSING STATEMENT**  
*(Required)*

a. What is the percentage of the minority group(s) population residing in the community?	<b>3.35%</b>
What is the percentage of the minority group(s) population residing in the proposed project ("targeted") area?	<b>3.35%</b>

Identify the characteristics of the population of the project (targeted) area by specific ethnic group. This information may be obtained from the most recent Census Data ("Summary of Population and Housing Characteristics CPH 1-15 Table 3") or from the income survey if a survey was conducted. (If survey data is being used, and less than a 100% response rate was received, extrapolated data should be used, rounding fractions to whole numbers)

Ethnic Category	Total Persons	# Also Hispanic
White	22	1
Black/African American		
Asian		
American Indian/Alaskan Native		
Native Hawaiian/Other Pacific Islander		
American Indian/Alaskan Native and White		
Asian and White		
Black/African American and White		
American Indian/Alaskan Native and Black/African American		
Other Individuals Reporting more than One Race		
# of Female Headed Households	1	

With the exception of "Female Heads of Households", the above numbers should equal the total number of persons to benefit from the project ("targeted" area). 23

b. What is the goal for the percentage of IKE-PI funded contracts to be awarded to minority contractors? 8%

c. If the percentage goal in *b* is substantially less than the percentage of minorities residing in the community, please explain.

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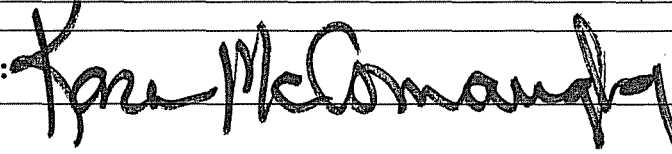
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d. If funded, the applicant agrees to affirmatively further fair housing by posting Fair Housing Posters and by making HUD Fair Housing Complaint Forms available to the public. In addition, the Department recommends that the unit of local government pass a fair housing ordinance. Please check one below:

We already have a Fair Housing Ordinance on file. (Indicate Number and Date Passed \_\_\_\_\_)

If funded, we will pass a Fair Housing Ordinance

We do not plan to pass a Fair Housing Ordinance at this time.

Signature of Chief Elected Official: 



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
 IKE-Public Infrastructure Program



**APPLICANT FINANCIAL INFORMATION**

Please provide the following information relative to the project for which CDBG DR funds are being requested (please provide information for all public infrastructure projects)	
Total Project Cost	<b>\$298,746.30</b>
CDBG PI Grant Requested	<b>\$298,746.30</b>
Total # of Persons Served	<b>23</b>

Current Average Monthly Residential Water and Sewer Bills (Attach copies of current water & wastewater rate schedules)		
Water Charge	\$N.A.	/5,000 gallons
Sewer Charge	\$N.A.	/5,000 gallons

(If water/sewer billed in cubic feet, provide the cost based upon 669 cubic feet.)

Provide dates of most recent rate changes.	
Most recent water rate change: ___/___/___	Most recent sewer rate change: ___/___/___

Projected monthly residential charge for 5,000 gallons of water and 5,000 gallons of sewage treatment if community proceeds with project without grant assistance		
Water Charge	\$N.A.	/5,000 gallons
Sewer Charge	\$N.A.	/5,000 gallons

Other Financial Information		
Equalized assessed valuation for 2009	\$15,464,758,039.00 Kane County	
	\$35,885,729 Village of Big Rock	
Municipal government tax rate in 2009: (collectible in 2010)	.339794 Kane County	
	.000 Village of Big Rock	
Aggregate tax rate for all local taxing bodies (e.g., county, township, school district) in 2009: [Add total tax rate of each unit of local government levying property taxes within the project area (county, township, etc.)] (collectible in 2010)	6.794592%	
Population according to 2000 Census Population		N.A.
Current number of Water and Sewer Accounts		N.A.
Total Water Accounts:		N.A.
Total Sewer Accounts:		N.A.
Residential Water Accounts:		N.A.
Residential Sewer Accounts:		N.A.
Total Amount of Cash Reserves	N.A.	N.A.
Cash reserves above designated for specific purposes:		
	N.A.	N.A.
	N.A.	N.A.
	N.A.	N.A.



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*



Engineer's Cost Estimate

All proposed project activities shall be accompanied by a detailed cost estimate prepared and signed by a Professional Engineer licensed in the State of Illinois. The cost estimate shall contain the cost of construction, engineering fees, and related costs. The construction estimate shall be a listing of construction items (as a bid proposal), estimated quantity, unit of measure, unit price, and amount.

Engineering fees shall be identified by type in a line item format. Typical items include basic services, resident project representative, topographic surveying, property surveying, geotechnical investigation, and testing.

**1. Construction Cost Details**

Item Description	Estimate Quantity	Estimated Cost
<b>Subtotal A</b>		

**2. Professional Fees and Permits**

	Estimated Cost
Final Design	
Construction Administration	
Permits/ Archeological Survey	
Legal and Bonding Fees	
Other (specify)	
<b>Subtotal B</b>	

**3. Related Construction Costs**

	Estimated Cost
Real Property/ Easements/ Acquisition	
Contingencies	



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
*IKE-Public Infrastructure Program*



Other (specify)	
	Subtotal C

4. Total Project Costs

Subtotal A + Subtotal B + Subtotal C = **\$1,789,386.09**

Estimates prepared / / by \_\_\_\_\_

Address/ Telephone: \_\_\_\_\_

Signature/ Date: \_\_\_\_\_



ILLINOIS DEPARTMENT OF COMMERCE AND ECONOMIC OPPORTUNITY  
**DCEO GRANT APPLICATION – PART II**  
 IKE-Public Infrastructure Program



### ADMINISTRATIVE COSTS BUDGET

*Complete This Section If CDBG Funds Are Requested For Grant Administrative Activities.*

PERSONNEL COSTS				
Position	Compensation	% of Time to CSP	Number of Months	CSP Total Amount
Program Manager	\$43.94 per hour	5-15%	15	\$1,821.50
Project Manager	\$38.01 per hour	5-20%	8	\$5,464.50
GENERAL MANAGEMENT COSTS				
Item	Amount			
Phone	\$0.00			
Postage	\$0.00			
Supplies/Materials	\$0.00			
Copying/Printing	\$0.00			
Equipment (List)	\$0.00			
Travel	\$0.00			
	\$0.00			
	\$0.00			
	\$0.00			
	\$0.00			
CONTRACTUAL COSTS (IDENTIFY)				
	\$0.00			
	\$0.00			
	\$0.00			
OTHER (IDENTIFY IN DETAIL)				
	\$0.00			
	\$0.00			
	\$0.00			
TOTAL				
<b>Total Amount of Funds Requested For Administration</b>	<b>\$7,286.00</b>			